



To,  
SMC Global Securities Limited

Date: \_\_\_/\_\_\_/20\_\_

Sub: Account Reactivation request  
Ref: Client code \_\_\_\_\_

Dear Sir,

I/We am/are having above mentioned trading account with SMC Global Securities Limited. I/we have not traded in Equity (Cash, F&O, currency) and/or commodity segment for more than 12 months hence my account is marked dormant. However, I/we am/are desirous to start trading again. In this regard, you are requested to reactivate my/our trading account and allow trading with immediate effect.

I/We hereby undertake and confirm that there are no changes in my/our KYC details (Address, contact details, Bank account, and residential status) provided to SMC earlier. Further, if there is any change, I will provide SMC complete KYC form.

I/we declare that the information given above is true to my/our knowledge. I/we, therefore, request you that the requirement of fresh KYC may not be insisted upon.

Yours Faithfully,

\_\_\_\_\_  
*(Signature of client)*

\_\_\_\_\_  
*(Name of the client/entity)*

**Note:** To be taken on the letterhead and affix rubber stamp in case of non-individual client.

**For SMC use**

In-Person Verification (IPV) conducted by:

Details of SMC Employee/AP:

Name: \_\_\_\_\_

Code: \_\_\_\_\_

Designation: \_\_\_\_\_

Signature: \_\_\_\_\_ Date \_\_\_\_\_



## Financial Details Updation and FATCA Declaration Form

To,  
SMC Global Securities Limited

Dear Sir/Madam,

I/We would request you to update the below mentioned details pertaining to my trading and/or demat account held with SMC Global Securities Limited.

<b>Financial Details</b>	<b>Income Range (Per Annum) as on date ___/___/20__</b>
<b>Gross Annual Income Details (Please Specify)</b>	<input type="checkbox"/> Below Rs 1 Lakh <input type="checkbox"/> Rs 1 - 5 Lakh <input type="checkbox"/> Rs 5 - 10 Lakh <input type="checkbox"/> Rs 10 - 25 Lakh <input type="checkbox"/> Above Rs 25 Lakh #Provide document in support of financial details (mandatory for Derivatives)
<b>Net worth (should not be older than 1 year) (Mandatory for Non-individual)</b>	Rs. _____ as on date ___/___/20__
<b>Occupation (In case of Individual)</b>	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Central Government <input type="checkbox"/> State Government <input type="checkbox"/> Business* <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturalist <input type="checkbox"/> Retired <input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> NGO <input type="checkbox"/> Others _____
<b>*If business is selected, then provide nature of business</b>	
<b>Politically Exposed (Please tick)</b>	
<input type="checkbox"/> Not Politically Exposed Person (PEP) / Not Related to Politically Exposed Person (PEP) <input type="checkbox"/> Politically Exposed Person (PEP) / Related to a Politically Exposed Person (PEP)	
<b>FATCA Declaration</b>	
Are you resident outside India for Tax Purpose <input type="checkbox"/> No <input type="checkbox"/> Yes ( <i>provide additional information</i> ) Country of Birth <input type="checkbox"/> India <input type="checkbox"/> Other _____ ( <i>specify</i> ) City of Birth    _____ ( <i>specify</i> )	
<i>If you are resident outside India for Tax Purpose, provide following additional information:</i>	
Country of Tax residence    _____ Tax Identification Number (TIN)    _____ ( <i>attach TIN proof</i> )	
<b>#Documents that can be submitted in support of financial details (any one):</b>	
(i) Copy of ITR (ii) Copy of Annual Accounts / Balance Sheet and P&L (iii) In case of salary income - Salary Slip or Copy of Form 16 (iv) Net worth certificate (v) Copy of latest demat account holding statement with value (vi) Bank account statement for last 6 months	

Trading code: \_\_\_\_\_

Client Signature: \_\_\_\_\_

Date: \_\_\_/\_\_\_/20\_\_